

## RECONCILIATION OF SHARE CAPITAL AUDIT

Scrip code*	526211		
NSE Symbol			
MSEI Symbol			
ISIN	INE170U01011		
Name of the company*	Suraj Industries Ltd		
<b>Registered office address</b>			
Registered office address*	Plot No. 2, Phase-III, Sansarpur Terrace, Distt. Kangra, Himachal Pradesh		
Registered office state*	Himachal Pradesh		
Registered office city*	Kangra		
Registered office district*	Kangra		
Registered office pin code*	173212		
Registered office contact number*	ISD Code*	STD Code*	Number*
	091	01970	256414
Registered office fax			
Registered office country*	INDIA		
Registered office website	www.surajindustries.org		
Registered office email	secretarial@surajindustries.org		
<b>Correspondence address</b>			
Same as above	No		
Correspondence address	F-32/3, First Floor, Okhla Industrial Area, Phase-II, New Delhi		
Correspondence state	Delhi		
Correspondence city	New Delhi		
Correspondence district	Delhi		
Correspondence pin code	110020		
Correspondence contact number	ISD Code	STD Code	Number
	091	011	42524455
Correspondence fax			
Correspondence country	INDIA		
Correspondence email	secretarial@surajindustries.org		
Reporting quarter*	31-12-2021		
Face value*	10		

Stock Exchange Details :	Name of stock Exchange	Listed Capital	% Of total issued capital
Name of other stock exchanges where the company's securities are listed	BSE Ltd	8650000	90.65
Remarks			

**Capital Details :**

	Number of shares	% Of total issued capital
Issued capital*	9542000	
Listed capital (BSE) (As per company records)*	8650000	90.65
Held in dematerialised form in CDSL*	5860151	61.41
Held in dematerialised form in NSDL*	564689	5.92
Physical*	3117160	32.67
Total no.of shares*	9542000	100
Reasons for difference if any, Between issued capital and listed capital*	892000	Textual Information(1)
Reasons for difference if any, Between issued capital and total number of shares*	0	
Reasons for difference if any, Between listed capital and total number of shares*	-892000	Textual Information(3)

### Text Block

Textual Information(1)	<p>The Company in its Board meeting held on 17.12.2021 had allotted 8,92,000 Equity Shares of Rs. 10/-each pursuant to conversion of 8,92,000 Fully Convertible Warrants. As per the information provided, the said shares were issued in De-Mat form, however these shares are yet to be credited by Central Depository Services Limited in De-mat account, hence these shares have been shown in the physical.</p>
	<p>On account of forfeiture of 1,14,000 Equity shares of Rs. 10/- each (Rs. 5/- paid up) by the Company on 14.12.2017 after compliance of provisions of the Companies Act, 2013 and SEBI regulations. The Company has also received letter from BSE Limited dated May 20, 2018 along with the exchange notice no. 20180530-8 dated May 30, 2018 issued to the trading members of the Stock Exchange for giving effect to the forfeited 1,14,000 shares.</p>
Textual Information(3)	<p>The Company in its Board meeting held on 17.12.2021 had allotted 8,92,000 Equity Shares of Rs. 10/-each pursuant to conversion of 8,92,000 Fully Convertible Warrants. As per the information provided, the said shares were issued in De-Mat form, however these shares are yet to be credited by Central Depository Services Limited in De-mat account, hence these shares have been shown in the physical.</p>
	<p>On account of forfeiture of 1,14,000 Equity shares of Rs. 10/- each (Rs. 5/- paid up) by the Company on 14.12.2017 after compliance of provisions of the Companies Act, 2013 and SEBI regulations. The Company has also received letter from BSE Limited dated May 20, 2018 along with the exchange notice no. 20180530-8 dated May 30, 2018 issued to the trading members of the Stock Exchange for giving effect to the forfeited 1,14,000 shares.</p>

### Certifying the details of changes in share capital during the quarter under consideration as per Table below :

Whether changes during the quarter*	Yes
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### Certifying the details of changes in share capital during the quarter under consideration as per Table below :

Serial No.	Particulars	No.of shares	Applied / Not Applied for listing	Listed on Stock Exchanges (Specify Names)	whether intimated to CDSL	whether intimated to NSDL	In-prin appr. pending for SE (Specify Names)	Remarks
1	Conversion	892000	Not Applied	BSE Ltd	No	No	Yes	Textual Information(1)

### Text Block

Textual Information(1)	<p>*Listing Application was Applied on 05.01.2022 i.e. post closure of quarter ended 31.12.2021.</p> <p>**Approval received on 24.01.2022</p>
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Register of members is updated*	Yes
If not, Updated upto which date	
Reference of previous quarter with regards to excess dematerialised shares,If any.	NIL
Has the company resolved the matter (excess dematerialised shares mentioned above) in the current quarter ?*	NA
If not, Reason why ?	

**Mentioned the total no.of requests, If any, confirmed after 21 days and the total no.of requests pending beyond 21 days with the reasons for delay**

Total no.of demat requests	No.of requests*	No.of shares*	Reasons for delay
Confirmed after 21 days*	0	0	0
Pending for more than 21 days*	0	0	0
Remarks			

**Compliance Officer Details**

Whether Compliance officer appointed	Yes
Whether Qualified Company Secretary is Compliance Officer *	Yes
Name of the compliance officer*	Chhavi Agrawal
Date of Appointment	09-01-2022
Designation*	Company Secretary and Compliance Officer
Membership Nos	ACS-49299
Telephone no.*	011-42524455
Fax no.	-
E-mail id*	secretarial@surajindustries.org
Whether any change in Compliance Officer during the previous 2 quarters*	No
Whether the previous Compliance Officer was Qualified Company Secretary *	

**Details of Previous Compliance Officer**

Previous Compliance Officer Name	
Membership Nos	
Date of Appointment	
Date of Cessation	

**Certifying Auditor Details**

CA/CS*	Company secretary
Name of certifying auditor*	Vijay Jain
Date of issue of report*	28-01-2022
Address*	Abhishek Business Center, 307, Third Floor, Laxmi Nagar, Delhi-110092
City*	New Delhi
Pincode*	110092
Contact no.*	011-8397945026
Fax no.	-
Email	
Membership no.*	50242
Firms registration number of audit firm	
Name of the firm*	Vijay Jain & Co.
COP number*	18230

**Registrar and Share Transfer Agent Details**

Appointment of common agency for share registry work*	Yes
Whether Registered with SEBI	
Name of RTA	Beetal Financial & Computer Services (P) Ltd
SEBI registration no.	INR000000262
Address	Beetal House, 3rd Floor, 99 Madangir, Behind LSC, Near Dada Harsukhdas Mandir, New Delhi
State	Delhi
City	New Delhi
Pincode	110062
Contact no.	011-29961281
Fax number of RTA	-
E-mail id	beetal@beetalfinancial.com
Website Address	www.beetalfinancial.com
Whether any change in Registrar and Share Transfer Agents *	No
Previous Registrar and Share Transfer Agents Name	
Date of Cessation	
Any other detail that the auditor may like to provide. (e.g. BIFR company, delisting from SE)	